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12 Attorneys for Defendants Robinhood
13 Financial LLC, Robinhood Securities,
14 LLC, and Robinhood Markets, Inc.

15 **UNITED STATES DISTRICT COURT**
16 **NORTHERN DISTRICT OF CALIFORNIA**
17 **OAKLAND DIVISION**

18 SABRINA CLAPP and DENISE REDFIELD,
19 individually and on behalf of others similarly
situated,

20 Plaintiffs,

21 vs.

22 ALLY FINANCIAL INC.; ALPACA
23 SECURITIES LLC; CASH APP INVESTING
LLC; SQUARE INC.; DOUGH LLC;
24 MORGAN STANLEY SMITH BARNEY LLC,
E*TRADE SECURITIES LLC; E*TRADE
25 FINANCIAL CORPORATION; E*TRADE
FINANCIAL HOLDINGS, LLC; ETORO USA
SECURITIES, INC.; FREE TRADE, LTD.;
INTERACTIVE BROKERS LLC; M1
26 FINANCE, LLC; OPEN TO THE PUBLIC
INVESTING, INC.; ROBINHOOD
27 FINANCIAL LLC; ROBINHOOD MARKETS,
28

Case No.: 4:21-cv-00896-HSG

**CERTIFICATION OF INTERESTED
ENTITIES**

The Hon. Haywood S. Gilliam

1 INC.; ROBINHOOD SECURITIES, LLC; IG
2 GROUP HOLDINGS PLC; TASTYWORKS,
3 INC.; TD AMERITRADE, INC.; THE
4 CHARLES SCHWAB CORPORATION;
5 CHARLES SCHWAB & CO. INC.; FF TRADE
6 REPUBLIC GROWTH, LLC; TRADING 212
7 LTD.; TRADING 212 UK LTD.; WEBULL
8 FINANCIAL LLC; FUMI HOLDINGS, INC.;
9 STASH FINANCIAL, INC.; BARCLAYS
10 BANK PLC; CITADEL ENTERPRISE
11 AMERICAS, LLC; CITADEL SECURITIES
12 LLC; MELVIN CAPITAL MANAGEMENT
13 LP; SEQUOIA CAPITAL OPERATIONS LLC;
14 APEX CLEARING CORPORATION; THE
15 DEPOSITORY TRUST & CLEARING
16 CORPORATION,
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18 Defendants.

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1 Defendants Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities,
2 LLC, by their attorneys, Farella Braun + Martel LLP and Cravath, Swaine & Moore LLP, pursuant
3 to Federal Rule of Civil Procedure 7.1, disclose as follows:

- 4 1. Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC are
5 privately held companies.
- 6 2. Robinhood Markets, Inc. does not have a parent corporation.
- 7 3. Robinhood Financial LLC and Robinhood Securities, LLC are wholly-owned subsidiaries
8 of Robinhood Markets, Inc.
- 9 4. No publicly held corporation owns 10% or more of the stock of Robinhood Markets, Inc.;
10 Robinhood Financial LLC; or Robinhood Securities, LLC.

11 Pursuant to Civil L.R. 3-15, the undersigned certifies that, in addition to the parties in this
12 action, the following listed persons, associations of persons, firms, partnerships, corporations
13 (including parent corporations) or other entities have a financial or other interest in the subject
14 matter or in a party that could be substantially affected by the outcome of this proceeding:

- 15 1. Baiju Bhatt
- 16 2. Vladimir Tenev
- 17 3. Index Ventures

18
19 Dated: March 11, 2021

FARELLA BRAUN + MARTEL LLP

20 By: _____
21

C. Brandon Wisoff

22 Attorneys for Defendants Robinhood
23 Financial LLC, Robinhood Securities,
24 LLC, and Robinhood Markets, Inc.
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